

**2023**

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|  | 2023 9 30         | 2022 12 31        |          |   |
|--|-------------------|-------------------|----------|---|
|  | 47,706,856.58     | 74,708,444.88     | -36.14%  | 1 |
|  | 563,936,083.99    | 924,960,384.16    | -39.03%  | 2 |
|  | 974,472,944.15    | 788,191,626.82    | 23.63%   | 3 |
|  | 2,014,173,246.07  | 1,717,445,443.44  | 17.28%   | 4 |
|  | 5,537,347,962.68  | 6,821,916,159.95  | -18.83%  | 5 |
|  | 781,700,024.22    | 558,866,880.36    | 39.87%   | 6 |
|  | 33,465,003,554.55 | 36,385,048,295.02 | -8.03%   | 7 |
|  | 99,657,961.78     | 144,925,887.00    | -31.24%  | 8 |
|  | -                 | 996,000,000.00    | -100.00% | 9 |

1 36.14%  
2 39.03%  
3 23.63%  
4 17.28%  
5 18.83%  
6 39.87%  
7 8.03%  
8 31.24%  
9 100%

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|  | 2023 1-9        | 2022 1-9       |          |   |
|--|-----------------|----------------|----------|---|
|  | 137,294,250.89  | 209,186,744.05 | -34.37%  | 1 |
|  | 559,859,008.78  | -35,152,327.92 | 1692.67% | 2 |
|  | -22,223,516.87  | -69,087,362.75 | 67.83%   | 3 |
|  | -119,736,318.78 | -39,776,513.42 | 201.02%  | 4 |
|  | -43,314,485.76  | 1,936,644.09   | 2336.57% | 5 |
|  | 28,898,907.59   | 2,438,642.89   | 1085.04% | 6 |
|  | -300,315,157.56 | -55,677,461.01 | -439.38% | 7 |
|  | -768,293,423.52 | 240,575,860.63 | -419.36% | 8 |

|  |                |               |          |   |
|--|----------------|---------------|----------|---|
|  | -51,139,366.19 | 60,786,319.81 | -184.13% | 9 |
|--|----------------|---------------|----------|---|

|   |          |
|---|----------|
| 1 | 34.37%   |
| 2 | 1692.67% |
| 3 | 67.83%   |
| 4 | 201.02%  |
| 5 | 2336.57% |
| 6 | 1085.04% |
| 7 | 439.38%  |
| 8 | 419.36%  |
| 9 | 184.13%  |

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|  | 2023 1-9          | 2022 1-9          |          |   |
|--|-------------------|-------------------|----------|---|
|  | 3,641,357,960.67  | 1,149,199,574.17  | 216.86%  | 1 |
|  | -26,410,486.71    | -999,164,406.72   | 97.36%   | 2 |
|  | -4,637,764,465.71 | -1,241,316,289.61 | -273.62% | 3 |

|   |         |
|---|---------|
| 1 | 216.86% |
| 2 | 97.36%  |
| 3 | 273.62% |

|                           | 143,226<br>21,280 | A<br>H | 121,622<br>324 | B           |            | 0           |
|---------------------------|-------------------|--------|----------------|-------------|------------|-------------|
| 10                        |                   |        |                |             |            |             |
|                           |                   |        |                |             |            |             |
|                           |                   |        | 15.35%         | 457,322,919 | 0          | 247,604,000 |
| HKSCC NOMINEES LIMITED    |                   |        | 12.53%         | 373,444,025 | 0          |             |
| 1                         |                   |        | 12.22%         | 364,131,563 | 0          |             |
|                           |                   |        | 2.83%          | 84,200,000  | 0          |             |
|                           |                   |        | 1.04%          | 31,080,044  | 23,310,033 |             |
|                           |                   |        | 0.84%          | 24,987,117  | 0          |             |
|                           |                   |        | 0.68%          | 20,217,332  | 0          |             |
| VANGUARD EMERGING MARKETS |                   |        | 0.50%          | 14,852,146  | 0          |             |

|   |  |       |             |             |             |             |
|---|--|-------|-------------|-------------|-------------|-------------|
| STOCK INDEX FUND                              |  |       |             |             |             |             |
| VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND |  | 0.50% | 14,771,945  | 0           |             |             |
|   |  | 0.48% | 14,226,733  | 0           |             |             |
| 10  |  |       |             |             |             |             |
|   |  |       | 457,322,919 |             |             | 457,322,919 |
| HKSCC NOMINEES LIMITED                        |  |       | 373,444,025 |             |             | 373,444,025 |
| 1   |  |       | 364,131,563 |             |             | 210,717,563 |
|   |  |       |             |             |             | 153,414,000 |
|   |  |       | 84,200,000  |             |             | 84,200,000  |
|   |  |       | 24,987,117  |             |             | 24,987,117  |
|   |  |       | 20,217,332  |             |             | 20,217,332  |
| VANGUARD EMERGING MARKETS STOCK INDEX FUND    |  |       | 14,852,146  |             |             | 14,852,146  |
| VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND |  |       | 14,771,945  |             |             | 14,771,945  |
|   |  |       | 14,226,733  |             |             | 14,226,733  |
|   |  |       | 12,273,802  |             |             | 12,273,802  |
|   |  |       |             |             |             |             |
| 10  |  |       | 326,322,919 | 457,322,919 | 131,000,000 | 0           |
|   |  |       | 84,200,000  |             |             |             |
|   |  |       | 84,200,000  |             |             |             |
|   |  |       |             | 24,987,117  |             |             |
|   |  |       | 0           | 24,987,117  |             |             |

1 B 153,414,000 H 210,717,563

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18 2023-058 2023 7 18

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**1 10**

2017 7 11

2017

17 MTN001

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2017

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www.shclearing.com

www.chinamoney.com.cn

2017

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108,970

2023 7 15

2023-049

2023 7 14

2 65.21%

2023 4 28

2023 9 8 2023 9 26  
65.21%

2023

65.21%

48,000

2023 9 9 9 27

2023-068 2023-073

2023 9 8 9 26

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2023 9 20

30,000

2023 9 21

2023-072

2023 9 20

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2023 09 30

|  | 2023年9月30日        | 2022年12月31日       |
|--|-------------------|-------------------|
|  | 12,308,496,881.61 | 14,000,434,986.08 |
|  | 47,706,856.58     | 74,708,444.88     |
|  | 2,718,104,939.61  | 3,212,260,445.96  |
|  | 563,936,083.99    | 924,960,384.16    |
|  | 974,472,944.15    | 788,191,626.82    |
|  | 2,014,173,246.07  | 1,717,445,443.44  |
|  |                   |                   |
|  |                   |                   |
|  | 5,537,347,962.68  | 6,821,916,159.95  |
|  | 3,791,206,151.21  | 3,998,724,415.85  |
|  | 1,028,051,705.41  | 1,180,807,801.62  |
|  | 28,983,496,771.31 | 32,719,449,708.76 |
|  |                   |                   |
|  | 1,313,789,095.14  | 1,486,807,783.47  |

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|  |                   |                   |
|--|-------------------|-------------------|
|  | 4,833,586,822.62  | 4,277,013,369.56  |
|  | 785,374,459.73    | 786,750,761.62    |
|  | 6,098,404,916.44  | 6,256,723,113.15  |
|  | 32,233,394,717.79 | 33,797,738,695.30 |
|  | 781,700,024.22    | 558,866,880.36    |
|  | 17,124,687.36     | 13,697,336.80     |
|  | 172,690,960.43    | 181,614,699.25    |
|  | 1,932,744,523.14  | 1,831,338,830.92  |
|  |                   |                   |

|  |                   |                   |
|--|-------------------|-------------------|
|  | 17,168,399,622.25 | 19,084,565,494.92 |
|  | 4,399,270,167.76  | 4,643,688,325.69  |
|  | 21,567,669,790.01 | 23,728,253,820.61 |
|  | 79,826,170,457.29 | 84,301,017,409.62 |

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|    |                   |                   |
|----|-------------------|-------------------|
|    |                   |                   |
|    | 19,721,856,232.05 | 25,356,033,895.76 |
|    | 19,721,856,232.05 | 25,356,033,895.76 |
|    | 21,379,907,220.64 | 25,159,168,151.47 |
|    | 18,339,887,716.53 | 21,776,704,144.94 |
|    | 177,602,066.45    | 173,762,349.09    |
|    | 169,600,667.50    | 211,648,240.10    |
|    | 520,498,796.69    | 533,251,815.08    |
|    | 824,079,516.18    | 978,386,493.77    |
|    | 1,348,238,457.29  | 1,485,415,108.49  |
|    | 1,299,077,501.52  | 1,471,057,552.72  |
|    | 118,875,037.93    | 183,204,055.55    |
|    | 137,294,250.89    | 209,186,744.05    |
|    | 559,859,008.78    | -35,152,327.92    |
|    | 265,167,737.51    | 36,362,903.71     |
|    | -97,405,717.01    | -105,681,243.38   |
|    | -22,223,516.87    | -69,087,362.75    |
| -  | -119,736,318.78   | -39,776,513.42    |
| -  | -43,314,485.76    | 1,936,644.09      |
| -  | 28,898,907.59     | 2,438,642.89      |
|    | -1,117,273,142.74 | 266,411,571.23    |
|    | 1,429,354.48      | 2,781,177.51      |
|    | 3,904,159.01      | 23,508,029.31     |
|    | -1,119,747,947.27 | 245,684,719.43    |
|    | -300,315,157.56   | -55,677,461.01    |
|    | -819,432,789.71   | 301,362,180.44    |
|    |                   |                   |
| 1. | -819,432,789.71   | 301,362,180.44    |
|    |                   |                   |
| 1. | -768,293,423.52   | 240,575,860.63    |
| 2. | -51,139,366.19    | 60,786,319.81     |
|    | -76,998,716.48    | -313,546,897.19   |
|    | -76,998,716.48    | -313,546,897.19   |
|    |                   |                   |
|    | -76,998,716.48    | -313,546,897.19   |
| 1. | -74,623,005.70    | -313,546,897.19   |
| 2. | -2,375,710.78     |                   |



|  |                 |                |
|--|-----------------|----------------|
|  |                 |                |
|  | -896,431,506.19 | -12,184,716.75 |
|  | -845,292,140.00 | -72,971,036.56 |
|  | -51,139,366.19  | 60,786,319.81  |
|  |                 |                |
|  | -0.278          | 0.060          |
|  | -0.278          | 0.060          |

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|  |                   |                   |
|--|-------------------|-------------------|
|  |                   |                   |
|  |                   |                   |
|  | 19,790,425,836.46 | 25,909,074,910.47 |
|  | 167,486,881.79    | 769,119,198.60    |
|  | 1,017,160,556.28  | 1,016,570,780.94  |
|  | 20,975,073,274.53 | 27,694,764,890.01 |
|  | 14,386,640,175.12 | 22,936,348,093.13 |
|  | 1,002,008,054.29  | 1,061,976,197.57  |
|  | 687,036,935.61    | 906,349,062.57    |
|  | 1,258,030,148.84  | 1,640,891,962.57  |
|  | 17,333,715,313.86 | 26,545,565,315.84 |
|  | 3,641,357,960.67  | 1,149,199,574.17  |
|  |                   |                   |
|  | 11,376,301.89     |                   |
|  | 43,821,163.14     | 33,418,471.24     |
|  | 209,478,524.45    | 56,620,992.30     |
|  |                   |                   |
|  |                   |                   |
|  | 264,675,989.48    | 90,039,463.54     |
|  | 286,151,725.16    | 826,203,870.26    |
|  |                   | 263,000,000.00    |
|  |                   |                   |
|  | 4,934,751.03      |                   |
|  |                   |                   |
|  | 291,086,476.19    | 1,089,203,870.26  |
|  | -26,410,486.71    | -999,164,406.72   |
|  |                   |                   |
|  | 300,000,000.00    | 1,400,000,000.00  |
|  | 300,000,000.00    | 1,400,000,000.00  |
|  | 24,154,459,447.48 | 25,170,479,799.08 |
|  | 2,282,121,186.77  | 2,850,090,394.82  |
|  | 26,736,580,634.25 | 29,420,570,193.90 |
|  | 25,849,810,238.63 | 24,517,081,618.27 |
|  | 1,652,529,511.61  | 1,976,091,231.17  |

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|  |                   |                   |
|--|-------------------|-------------------|
|  | 254,313,617.44    | 200,352,435.08    |
|  | 3,872,005,349.72  | 4,168,713,634.07  |
|  | 31,374,345,099.96 | 30,661,886,483.51 |
|  | -4,637,764,465.71 | -1,241,316,289.61 |
|  | -53,772,503.77    | -22,851,708.48    |
|  | -1,076,589,495.52 | -                 |